#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

09/14/2004

Rex Medical, L.P. 585 County Line Road Radnor, PA 19087 RECEIVED

SEP 3 0 2004

EXAMINER

KENNEDY, SHARON E

PAPER NUMBER

ART UNIT

3762

**DATE MAILED: 09/14/2004** 

TECHNOLOGY CENTER R3700

	·				_
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	J
10/025,505	12/19/2001	James F. McGuckin JR.	1114	6449	

TITLE OF INVENTION: DIALYSIS CATHETER AND METHODS OF INSERTION

APPLN. TYPE	SMALL ENTITY	, ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$300	\$965	12/14/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED: SEE 35 U.S.C. 151. THE ISSUE FEE DUE, INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

  HI: All communications regarding this application must give the application number. Please direct all communications prior to issuance to

HI. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

indicated unless corrected by maintenance fee notification	below or directed otherwise	in Block 1, by (a)	specifying a new co	orrespondence addres	s; and/or (b) indicating a sepa	rate "FEE ADDRESS" for				
	E ADDRESS (Note: Use Block 1 for	any change of address)		Note: A certificate of	of mailing can only be used for this certificate cannot be used in	or domestic mailings of the				
7.	500 004147004			Fee(s) Transmittal. T papers. Each addition	This certificate cannot be used to nal paper, such as an assignment ate of mailing or transmission.	for any other accompanying ent or formal drawing, must				
	590 09/14/2004									
Rex Medical, L.P 585 County Line R				I hereby certify that	ertificate of Mailing or Trans this Fee(s) Transmittal is being	g deposited with the United				
Radnor, PA 19087				States Postal Service addressed to the Ma	this Fee(s) Transmittal is being with sufficient postage for fir ail Stop ISSUE FEE address PTO (703) 746-4000, on the d	st class mail in an envelope above, or being facsimile				
				transmitted to the US	SPTO (703) 746-4000, on the d	(Depositor's name)				
						(Signature)				
						(Date)				
APPLICATION NO.	. FILING DATE	r	IRST NAMED INVEN	TOP	ATTORNEY DOCKET NO.	CONFIRMATION NO.				
	<del></del>	r			_ <del></del>					
10/025,505	12/19/2001		James F. McGuckin	JK.	1114	6449				
TITLE OF INVENTION: D	IALYSIS CATHETER ANI	METHODS OF II	NSERTION							
APPLN. TYPE	CMALL ENTERNY	IOGUE EE	n I ni	IDLICATION FEE	TOTAL FEE(E) DIE	DATE DIE				
	SMALL ENTITY	ISSUE FE	E PC	BLICATION FEE	TOTAL FEE(S) DUE	DATE DUE				
nonprovisional	YES	\$665		\$300	\$965	12/14/2004				
EXAM	IINER	ART UNI	T CI	ASS-SUBCLASS						
KENNEDY,	SHARON E	3762		604-043000						
1. Change of correspondence CFR 1.363).	e address or indication of "Fe	ee Address" (37		he patent front page,	1					
_ ′	lence address (or Change of 22) attached.	Correspondence	(1) the names of u or agents OR, alter	p to 3 registered pate natively,	ent attorneys					
_	22) attached. ion (or "Fee Address" Indica	1	(2) the name of a s	ingle firm (having as or agent) and the na	s a member a 2					
PTO/SB/47; Rev 03-02 of Number is required.	or more recent) attached. Use	of a Customer	2 registered patent listed, no name wil	attorneys or agents. I	If no name is 3					
<u> </u>	RESIDENCE DATA TO B	F PRINTED ON T	·	<u> </u>						
			-	•• /	gnee is identified below, the d	ocument has been filed for				
(A) NAME OF ASSIGNI				Y and STATE OR CO						
,		(-)	( •		,					
Please check the appropriate	assignee category or catego	ries (will not be pri	nted on the patent):	☐ Individual ☐ (	Corporation or other private gro	oup entity Government				
4a. The following fee(s) are	enclosed:		Payment of Fee(s):			<del>7</del>				
Issue Fee				ount of the fee(s) is						
_	mall entity discount permitte		Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to							
Advance Order - # of	Copies		The Director is I Deposit Account Nui	nber	charge the required fee(s), or (enclose an extra c	credit any overpayment, to opy of this form).				
5. Change in Entity Status										
	MALL ENTITY status. See				ALL ENTITY status. See 37 C					
The Director of the USPTO in NOTE: The Issue Fee and Puinterest as shown by the reco	is requested to apply the Issu ublication Fee (if required) vords of the United States Pate	e Fee and Publicativill not be accepted ont and Trademark (	on Fee (if any) or to from anyone other th Office.	re-apply any previou an the applicant; a re	sly paid issue fee to the applica gistered attorney or agent; or the	ation identified above.  ne assignee or other party in				
Authorized Signature		<del></del>	<del></del>	Date						
				_	on No.					
Hexandria, Vinginia 22515	1430.				the public which is to file (and minutes to complete, including comments on the amount of tind d Trademark Office, U.S. Depi SS. SEND TO: Commissioner					



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/025,505	12/19/2001	James F. McGuckin JR.	1114	6449
7:	590 09/14/2004		EXAM	INER
Rex Medical, L.F 585 County Line R			KENNEDY,	SHARON E
Radnor, PA 19087			ART UNIT	PAPER NUMBER
•			3762	
			DATE MAILED: 00/14/200/	1

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 509 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 509 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO		
10/025,505	12/19/2001	James F. McGuckin JR.	1114	6449		
759	09/14/2004		EXAMI	INER		
Rex Medical, L.P.			KENNEDY,	SHARON E		
585 County Line Ro Radnor, PA 19087	oad		ART UNIT	PAPER NUMBER		
,			3762			

DATE MAILED: 09/14/2004

#### Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because some fees will increase effective October 1, 2004. See Revision of Patent Fees for Fiscal Year 2005; Final Rule, 69 Fed. Reg. 52604, 52606 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004), the issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Procedure (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

By a small entity (Sec. 1.27(a))	\$685.00
By other than a small entity	\$1,370.00
(b) Issue fee for issuing a design patent:	
By a small entity (Sec. 1.27(a))	\$245.00
By other than a small entity	\$490.00
(c) Issue fee for issuing a plant patent:	
By a small entity (Sec. 1.27(a))	\$330.00
By other than a small entity	\$660.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)	
	10/025,505	MCGUCKIN ET AL.	Ì
Notice of Allowability	Examiner	Art Unit	
•	Charan Kannady	3762	
	Sharon Kennedy	3762	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31	i (OR REMAINS) CLOSED in ) or other appropriate commurated. This application is sure 3 and MPEP 1308.	this application. If not included nication will be mailed in due course.	THIS initiative
1. This communication is responsive to <u>amendment and IDS</u>	S faxed on 11 August 2004.		
2. The allowed claim(s) is/are 1-10 and 15-18.			·
3. X The drawings filed on 19 December 2001 are accepted by	the Examiner.		.
4. Acknowledgment is made of a claim for foreign priority u a) All b) Some* c) None of the:  1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have 1. Copies of the certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have 1. Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONITHIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  5. A SUBSTITUTE OATH OR DECLARATION must be submined in INFORMAL PATENT APPLICATION (PTO-152) which give the Copy of the Notice of Draftspering including changes required by the Notice of Draftspering including changes required by the attached Examined Paper No./Mail Date  Identifying Indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in Time DEPOSIT OF and/or INFORMATION about the department of the priority documents and the priority documents in the priority documents in the copy of the priority documents in the priority documents in the copy of the priority documents in the priority documents in the priority documents in the copy of the priority documents in the priorit	e been received. e been received in Application ocuments have been received of this communication to file a MENT of this application.  nitted. Note the attached EXAL res reason(s) why the oath or lest be submitted. son's Patent Drawing Review of Amendment / Comment or 1.84(c)) should be written on the header according to 37 CFF osit of BIOLOGICAL MATE	in this national stage application from a reply complying with the requirement MINER'S AMENDMENT or NOTICE declaration is deficient.  ( PTO-948) attached in the Office action of a drawings in the front (not the back) of 1.121(d).	nts OF
<ul> <li>Attachment(s)</li> <li>1. ☐ Notice of References Cited (PTO-892)</li> <li>2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3. ☒ Information Disclosure Statements (PTO-1449 or PTO/SB. Paper No./Mail Date 08112004</li> <li>4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	6. Interview Su Paper No./I /08), 7. Examiner's	ormal Patent Application (PTO-152) Immary (PTO-413), Mail Date Amendment/Comment  Statement of Reasons for Allowance Sharon Kennedy Primary Examiner Art Unit: 3762	ay_

For	m PT	0-1	44	9		Ü	.s	. [	ΣĒΡ	ÀF	RTMENT OF	Ī	ATTY. DOCKET NO.				Ī	SE	RIAL N	Ō.	
COM	MERC	E	PAT	E)	IT						RK OFFICE		1114				١,	<b>n /</b> n	25,505		
	•	INF	`OR	MA	TI	ON	DI	SC	LOS	SU	RE		1114					0,0	23,303		
		STA																			
/1	ica s	OW	or:	. 1	e h		ts	i f	f n	ec	essary)									_	
<del>'</del>	036 3		CIC								× × × × × × × × × × × × × × × × × × ×	ᅥ									
				_			7	Ó	+	-	2	٦	APPLICANT		,						
						1							James F. McGuckin, Jr., e	τa.	1						
						Ĺ		JUL	0 1	B 2	1004 E		FILING DATE				G	GROUP Art Unit:			
						É	À				. 4	١	December 19, 2001					3	3736		
				_			*	(Z	-	<u></u>	A PRODUCTION OF THE PROPERTY O	لـــ						•			
=									(AL)		<del></del>	_	J.S. PATENT DOCUMENT				· · · ·		FILING	DATE	
	INER				DO	CUN	1EN	T			DATE		NAME		CL	ASS	SUBCL	ASS	I	F	
					-	UME	BER								<u> </u>		ļ		APPRO	PRIATE	
X,			5	_ <u>1</u>	7	0	7	8			2/15/92 9/22/98		Powers, et al		-	┝	-		<u> </u>		
+-		H				4	1		1		5/28/02		Mages, et al		-	$\vdash$					
+				4	5	4	9		7	0	9/24/02	0	Divino, Jr, et al								
	200	2		0		7	1		5		7/04/02		Chwald, et al							· <u>-</u> .	
4	200	_		1		3	1	9	9		08/14/03 .0/30/03		Schon, et al			┝	$\vdash$		<u></u>		
र्ष	200	3	70	2				8			0/30/03		Sottlieb, et al		$\vdash$	;					
		١	Ĭ	Ť	Ť	Ė	Ť	Ť													
							L			L		-			₩.		<del> </del>		<del></del>		
		<u> </u>		L.	_	<u>L</u>		ــــــــــــــــــــــــــــــــــــــ	i,	L.		O.	REIGN PATENT DOCUMENTS		1	-	L		<u> </u>		
_														<b>,</b>		•				> m x 0 \ \	
					r		rma	ENI	,		DATE	ļ	COUNTRY	CLA	ASS	St	BCLAS		TRANSL	ATION	
			1		L		MB!				DATE							L			
								. ,						<u> </u>		↓_		+	YES	NO	
			1	_	$\downarrow$	4	4	4	4	_				┼—		╀		-			
			+	┥	╁	$\dashv$	+	+	+					+-		+		╅	<del>,</del>	<u> </u>	
	_		+	┪	7	$\dagger$	7	┪	7		<u> </u>	_									
			工	1	I	工	$\Box$	$\Box$	$\Box$			_		<u> </u>	<u> </u>	<u></u>	o F*	<u>_</u>		ł	
			(	TC	HE	R D	ЮС	UMI	ENT	'S	(Includi	.n	g Author, Title, Date, Perti	nen	t P	age	15, EL	.c.,	•		
			$\top$	7				_									,				
										_		_							<del></del>		
			-	4						_									<del></del>		
			+	$\dashv$	_							_									
				7																	
				$\Box$						_											
			+	$\dashv$							<del></del>	_								<del></del>	
			ł	+								_									
EXA	MINE	R	<del></del>					_					DATE CONSIDERED	,							
	•	X	K	رو	<b>^</b>	^	رو	D	Ч				8/11/0	7							
EXA	MINE	<u>)</u> R:	In	it	ia	ī	f	cil	ta	ΕÌ	on consid	de	red, whether or not citation	n is	ir	C	onfor	nan	ce with	n MPEP	
609	; Dr	aw	li	ne	t	hr	oug	jh `	<u>در</u> ا	ta	tion if r	10	of in conformance and not con	nsic	dere	ed.	Incl	ade	copy	of <sub>.</sub>	
tnı	S IO	r m	Wl	cn	n	eX1	<u>. c</u>	.om	ıııu1	11	cation to		applicant.								

NOTICE OF OFFICE PLAN TO CEASE SUPPLYING COPIES OF CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS, AND PILOT TO EVALUATE THE ALTERNATIVE OF PROVIDING ELECTRONIC ACCESS TO SUCH U.S. PATENT REFERENCES

# Summary

The United States Patent and Trademark Office (Office or USPTO) plans in the near future to: (1) cease mailing copies of U.S. patents and U.S. patent application publications (US patent references) with Office actions except for citations made during the international stage of an international application under the Patent Cooperation Treaty and those made during reexamination proceedings; and (2) provide electronic access to, with convenient downloading capability of, the US patent references cited in an Office action via the Office's private Patent Application Information Retrieval (PAIR) system which has a new feature called "E-Patent Reference." Before ceasing to provide copies of U.S. patent references with Office actions, the Office shall test the feasibility of the E-Patent Reference feature by conducting a two-month pilot project starting with Office actions mailed after December 1, 2003. The Office shall evaluate the pilot project and publish the results in a notice which will be posted on the Office's web site (www.USPTO.gov) and in the Patent Official Gazette (O.G.). In order to use the new E-Patent Reference feature during the pilot period, or when the Office ceases to send copies of U.S. patent references with Office actions, the applicant must: (1) obtain a digital certificate from the Office; (2) obtain a customer number from the Office, and (3) properly associate applications with the customer number. The pilot project does not involve or affect the current Office practice of supplying paper copies of foreign patent documents and non-patent literature with Office actions Paper copies of references will continue to be provided by the USPTO for searches and written opinions prepared by the USPTO for international applications during the international stage and for reexamination proceedings.

# Description of Pilot Project to Provide Electronic Access to Cited U.S. Patent References

On December 1, 2003, the Office will make available a new feature, E-Patent Reference, in the Office's private PAIR system, to allow more convenient downloading of U.S. patents and U.S. patent application publications. The new feature will allow an authorized user of private PAIR to download some or all of the U.S. patents and U.S. patent application publications cited by an examiner on form PTO-892 in Office actions, as well as U.S. patents and U.S. patent application publications submitted by applicants on form PTO/SB08 (1449) as part of an IDS. The retrieval of some or all of the documents may be performed in one downloading step with the documents encoded as Adobe Portable Document format (.pdf) files, which is an improvement over the current page-by-page retrieval capability from other USPTO systems

Steps to Use the New E-Patent Reference Feature During the Pilot Project and Thereafter

 $\zeta$ 

Access to private PAIR is required to utilize E-Patent Reference. If you don't already have access to private PAIR, the Office urges practitioners, and applicants not represented by a practitioner, to take advantage of the transition period to obtain a no-cost USPTO Public Key Infrastructure (PKI) digital certificate, obtain a USPTO customer number, associate all of their pending and new application filings with their customer number, install no-cost software (supplied by the Office) required to access private PAIR and E-Patent Reference feature, and make appropriate arrangements for Internet access. The full instructions for obtaining a PKI digital certificate are available at the Office's Electronic Business Center (EBC) web page at:

<a href="http://www.uspto.gov/ebc/downloads.html">http://www.uspto.gov/ebc/downloads.html</a>. Note that a notarized signature will be required to obtain a digital certificate.

To get a Customer Number, download and complete the Customer Number Request form, PTO-SB125, at: <a href="http://www.uspto.gov/web/forms/sb0125.pdf">http://www.uspto.gov/web/forms/sb0125.pdf</a>. The completed form can then be transmitted by facsimile to the Electronic Business Center at (703) 308-2840, or mailed to the address on the form. If you are a registered attorney or patent agent, then your registration number must be associated with your customer number. This is accomplished by adding your registration number to the Customer Number Request form. A description of associating a customer number with an application is described at the EBC web page at: <a href="http://www.uspto.gov/ebc/registration\_pair.html">http://www.uspto.gov/ebc/registration\_pair.html</a>.

The E-Patent Reference feature will be accessed using a new button on the private PAIR screen. Ordinarily all of the cited U.S. patent and U.S. patent application publication references will be available over the Internet using the Office's new E-Patent Reference feature. The size of the references to be downloaded will be displayed by E-Patent Reference so the download time can be estimated. Applicants and registered practitioners can select to download all of the references or any combination of cited references. Selected references will be downloaded as complete documents as Adobe Portable Document Format (.pdf) files. For a limited period of time, the USPTO will include a copy of this notice with Office actions to encourage applicants to use this new feature and, if needed, to take the steps outlined above in order to be able to utilize this new feature during the pilot and thereafter.

During the two-month pilot, the Office will evaluate the stability and capacity of the E-Patent Reference feature to reliably provide electronic access to cited U.S. patent and U.S. patent, application publication references. While copies of U.S. patent and U.S. patent application publication references cited by examiners will continue to be mailed with Office actions during the pilot project, applicants are encouraged to use the private PAIR and the E-Patent Reference feature to electronically access and download cited U.S. patent and U.S. patent application publication references so the Office will be able to objectively evaluate its performance. The public is encouraged to submit comments to the Office on the usability and performance of the E-Patent Reference feature during the pilot. Further, during the pilot period registered practitioners, and applicants not represented by a practitioner, are encouraged to experiment with the feature, develop a proficiency in using the feature, and establish new internal processes for using the new access to the cited U.S. patents and U.S. patent application publications to prepare for the anticipated cessation of the current Office practice of supplying copies of such cited

references. The Office plans to continue to provide access to the E-Patent Reference feature during its evaluation of the pilot.

#### Comments

Comments concerning the E-Patent Reference feature should be in writing and directed to the Electronic Business Center (EBC) at the USPTO by electronic mail at <a href="mailto:eReference@uspto.gov">eReference@uspto.gov</a> or by facsimile to (703) 308-2840. Comments will be posted and made available for public inspection. To ensure that comments are considered in the evaluation of the pilot project, comments should be submitted in writing by January 15, 2004.

Comments with respect to specific applications should be sent to the Technology Centers' customer service centers. Comments concerning digital certificates, customer numbers, and associating customer numbers with applications should be sent to the Electronic Business Center (EBC) at the USPTO by facsimile at (703) 308-2840 or by e-mail at EBC@uspto.gov.

# Implémentation after Pilot

After the pilot, its evaluation, and publication of a subsequent notice as indicated above, the Office expects to implement its plan to cease mailing paper copies of U.S. patent references cited during examination of non provisional applications on or after February 2, 2004; although copies of cited foreign patent documents, as well as non-patent literature, will still be mailed to the applicant until such time as substantially all applications have been scanned into IFW.

## For Further Information Contact

Technical information on the operation of the IFW system can be found on the USPTO website at http://www.uspto.gov/web/patents/ifw/index.html. Comments concerning the E-Patent Reference feature and questions concerning the operation of the PAIR system should be directed to the EBC at the USPTO at (866) 217-9197. The EBC may also be contacted by facsimile at (703) 308-2840 or by e-mail at EBC@uspto.gov.

Date. 12 1 0 3

Micheley P. Fodici Nicholas P. Godici

Commissioner for Patents

# USPTO TO PROVIDE ELECTRONIC ACCESS TO CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS AND CEASE SUPPLYING PAPER COPIES

In support of its 21st Century Strategic Plan goal of increased patent e-Government, beginning in June 2004, the United States Patent and Trademark Office (Office or USPTO) will begin the phasein of its E-Patent Reference program and hence will: (1) provide downloading capability of the U.S. patents and U.S. patent application publications cited in Office actions via the E-Patent Reference feature of the Office's Patent Application Information Retrieval (PAIR) system; and (2) cease mailing paper copies of U.S. patents and U.S. patent application publications with Office actions (in applications and during reexamination proceedings) except for citations made during the international stage of an international application under the Patent Cooperation Treaty (PCT). In order to use the new E-Patent Reference feature applicants must: (1) obtain a digital certificate and software from the Office; (2) obtain a customer number from the Office; and (3) properly associate patent applications with the customer number. Alternatively, copies of all U.S. patents and patent application publications can be accessed without a digital certificate from the USPTO web site, from the USPTO Office of Public Records, and from commercial sources. The Office will continue the practice of supplying paper copies of foreign patent documents and nonpatent literature with Office actions. Paper copies of cited references will continue to be provided by the USPTO for international applications during the international stage.

# Schedule

June 2004 July 2004 August 2004 TCs 1600, 1700, 2800 and 2900

TCs 3600 and 3700 TCs 2100 and 2600

All U.S. patents and U.S. patent application publications are available on the USPTO web site. However, a simple system for downloading the cited U.S. patents and patent application publications has been established for applicants, called the E-Patent Reference system. As E-Patent Reference and Private PAIR require participating applicants to have a customer number, retrieval software and a digital certificate, all applicants are strongly encouraged to contact the Patent Electronic Business Center to acquire these items. To be ready to use this system by June 1, 2004. contact the Patent EBC as soon as possible by phone at 866-217-9197 (toll-free), 703-305-3028 or 703-308-6845 or electronically via the Internet at ebc@uspto.gov.

# Other Options

The E-Patent Reference funct a requires the applicant to use the secure Private PAIR system. which establishes confidential communications with the applicant. Applicants using this facility must receive a digital certificate, as described above. Other options for obtaining patents which do not require the digital certificate include the USPTO's free Patents on the Web program (http://www.uspto.gov/patft/index.html). The USPTO's Office of Public Records also supplies copies of patents for a fee (http://ebizl.uspto.gov/oems25p/index.html). Commercial sources also provide U.S. patents and patent application publications.

For complete instructions see the Official Gazette Notice, USPTO 10 PROVIDE ELECTRONIC ACCESS TO CITED US PATENT REFERENCES WITH OFFICE ACTIONS AND CLASS SUPPLYING PAPER COPILS on the USPTO web site

Organization | | July | 1 ... Room — UNITED STATES PATENT AND TRADEM. ... OFFICE

If Undeliverable Return In Ten Days Alexandria, VA. 22313-1450

Official Business Penalty For Private Use, \$300

AN EQUAL OPPORTUNITY EMPLOYER

REX-505 X1900/2019 1303 23 09/16/04 RETURN TO SENDER : HEX MEDICAL NOT DELIVERABLE AS ADDRESSED UNABLE 10 TORWARD RETURN TO SENDER

DEPT BILEST POET

The interior of the standard of the latest of the standard of



Manda Shate 2R CODE 33

P.O. Box 1450